

Federal Grant Compliance



Emergency Management



Public Programs



Enterprise Solutions

Tidal Basin has provided program and grants management support for state and local government agencies for over 35 years across various federal grant programs. Compliance is the foundation of our clients' success and is a through-line in all our work. It is a cornerstone when developing, implementing, and closing any large-scale state or federal program.

Federal grants are awarded and must be used appropriately and in accordance with federal regulations. To ensure strict adherence to written program policies and federal regulations, Tidal Basin acts as an extension and on behalf of grantee to ensure compliance from the program start-up to closeout.

Our quality principles for compliance include upfront QA/QC reviews, well-documented processes and analytical reviews supported by methods for project management and controls, data management and reporting, audit proofing, and fiscal management. Subject Matter Experts (SMEs) are available for ongoing consultation on any policy guidance or compliance area. When scenarios warrant additional reviews, we escalate issues and request formal policy clarifications.

Specific compliance activities include:

- Advising on federal regulations and guidelines to avoid disallowance and negative audit findings, including providing recommendations for improvement and augmentation of existing procurement policies and practices
- Reviewing solicitations, contracts, and contractor invoicing to ensure compliance with 2 CFR 200 and eligibility with grant program
- Environmental and Historic Preservation (EHP) compliance with NEPA, Section 106, and any other applicable law, regulation, or policy
- Confirming that recipients and sub-recipients comply with laws, regulations, programs, contractual requirements, agreements, certifications, sub-grant awards, terms and special conditions, and adhere to federal reporting requirements
- Reviewing distribution requests of funds to ensure actual expenditures are consistent with, and are the same proposed items as, the subgrant application
- Checking records retention policies and practices against federal and state regulations
- Coordinating with Federal Accountability Officer to follow up on questions regarding funding decisions and application approvals



\$40B

in federal grant funds administered

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Federal compliance services across the program lifecycle:

Program Start-up	Implementation	Closeout
<ul style="list-style-type: none"> • Policy and procedure development in alignment with federal requirements • Template and checklist creation for compliance reviews • Review and interpretation of federal guidelines and requirements, including technical assistance • Training and subject matter expert support • Risk assessments • Proprietary grants management and compliance software 	<ul style="list-style-type: none"> • Ongoing program monitorings, reviews and audits • Quality checks • Compliance reporting • Ongoing technical assistance 	<ul style="list-style-type: none"> • Audit support • Recordkeeping • Record retention • Cost reconciliation and appeals support

Tidal Basin has been an active third-party stakeholder in federal grant compliance since the firm's inception. Project examples highlighting specific experiences across various programs are provided below.

Internal Auditing and Program Monitoring, Community Development Block Grant-Disaster Recovery and Mitigation (CDBG-DR/MIT) – State of Alaska

Tidal Basin acts as the federal grant compliance team on behalf of the State, ensuring federal requirements are in compliance with the Single Audit Act of 1984 and 2 CFR 200 Subpart F Audit Requirements, GAO Government Auditing Standards, and U.S. Department of Housing and Urban Development (HUD) regulations. Our services include performing bi-annual programmatic and financial audits of the CDBG-DR and CDBG-MIT programs to determine the efficiency of the process and compliance with the CDBG-DR and CDBG-MIT Internal Auditing and Program Monitoring Plan, the Action Plan, the Implementation Plan, as well as, applicable regulations and requirements, along with providing a bi-annual program and financial audit report.

FEMA Public Assistance Grant Management – Puerto Rico Electric Power Authority (PREPA)

Tidal Basin subject matter experts and technical specialists provided expertise and oversight on all aspects of FEMA's Public Assistance program regarding grants management, project formulation, policy strategy, and the 404 Hazard Mitigation Grant program. This was the single largest FEMA award ever, at over \$10B. Our team worked closely with PREPA staff and FEMA to obtain maximum reimbursements and

flexibility in program application, the Bi-Partisan Budget Act, the Build Back Better program, and the Department of Energy. We followed all federal and local compliance requirements, which enabled an advantageous use of federal funding to rebuild PREPA's infrastructure and help the people of Puerto Rico recover from the devastating impacts of two major hurricanes.

Emergency Rental Assistance Program – Florida Department of Children and Families

Tidal Basin was selected to administer the state of Florida's U.S. Treasury-funded Emergency Rental Assistance Program in response to the COVID-19 Public Health Emergency. Tidal Basin is administering the statewide \$1.6 billion rental and utility assistance program for impacted low-income households. Tidal Basin provided full QA/QC and compliance services to ensure the funds were distributed in accordance with all Treasury and state guidelines.

CDBG-MIT Action Plan and Program Administration Tools Development – State of West Virginia

The Tidal Basin team provided program management and technical services to the State's Development Office to develop the CDBG-Mitigation Action Plan related to the 2016 flood disaster. Our team conducted this effort under an aggressive submission timeline and was responsible for ensuring Action Plan compliance with all federal requirements governing the CDBG-MIT funding. The Action Plan reflects local, regional, and state priorities to address disaster risks in several counties within the state.

For more information on our program support, contact us today.



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